

**COMPLETION OF FILING NATIONAL PHASE OF PCT APPLICATION**  
**UNDER RULE 35 USC 371 AND 37 CFR 1.494(C) OR 1.495(C)**

**BOX PCT**

**COMPLETION**  
**For PCT Cases Only**

In re PATENT APPLICATION of

Inventor(s): FRENKEN ET AL

Appln. No.: 09 802,172

Series Code 0 Serial No. 0

National Phase Field

Based on PCT EP99 08323

Country Code &amp; Year

Title: ANTIGEN-BINDING PROTEINS

Attn: Application Division

Atty. Dkt. P 279470 T.3077  
M# Client Ref

Date: May 29, 2001

**FILING OF ITEM(S) LATE IN PCT/USA NATIONAL CASE**Asst. Commissioner of Patents  
Washington, DC 20231

Sir:

The following completes the filing of the subject application under Rule 494(c)/495(c). Please accept the following attached items:

1. Missing Requirements Notice (PCT/DO/EO/905) ☒ copy attached ☐ not yet received
2. ☒ **Signed Declaration** ☒ Original ☐ Facsimile/Copy ☐ with spec/claims attached
3. ☐ **Translation** of the International Application into English including:
  - a. ☐ Request;
  - b. ☐ Abstract
  - c. ☐ pgs. Spec. and Claims;
  - d. ☐ Translation verification
  - e. ☐ sheets Drawing which are: ☐ informal ☐ formal of size ☐ A4 ☐ 11"
4. ☐ Copy of **International Search Report (ISR)** attached (\_\_\_ page(s))
  - a. ☐ plus Annex of family members (\_\_\_ page(s))
5. **Information Disclosure Statement** including
  - a. ☐ From PTO-1449 listing documents
  - b. ☐ Copies of document(s) listed on Form PTO-1449
  - c. ☐ A concise explanation of ISR references is given in the ISR
6. ☒ **Assignment** and cover sheet. Please return the recorded assignment to the undersigned.
7. ☐ Copy of Power to international application agent.
8. \_\_\_ (No.) Small Entity Statement(s) establishing "small entity" status under Rules 9 & 27.
9. ☐ Formal Drawings: \_\_\_ sheet(s) ☐ informal; ☐ formal of size: ☐ A4 ☐ 11"
10. ☒ Please immediately start national examination procedures (35 USC 371(f))

11. ☐ Attached:
12. ☐ Preliminary Amendment:
13. ☒ Basic U.S. National fee per Rule 492(a)(1)-(4) was previously timely filed.:
14. **Calculation of remaining fees due (if any):** based on amended claim(s) per above item  
☐ 12 (above) or item(s) in PAT-112 (filed previously) ☐ 12 ☐ 14 ☐ 17 ☐ 25
15. **CLAIMS FEES** ☐ previously paid ☒ paid herewith as follows:
- 15A. Small Entity Statement ☐ Herewith ☐ Previously Filed

				Large/Small Entity		Fee Code
16. Total Effective Claims	18	minus 20 =	0	x \$18/\$9	+0	966/967
17. Independent Claims	2	minus 3 =	0	x \$80/\$40	+0	964/965
18. If any proper multiple dependent claim (ignore improper) is present,				\$270/\$135	+270	968/969
19. Filing Declaration late, fee paid <input type="checkbox"/> previously <input checked="" type="checkbox"/> now				\$130/\$65	+130	154/254
<b>SUBTOTAL</b>					<b>\$400</b>	
21. <b>Original due date:</b> June 7, 2001						
22. <b>Petition is hereby made</b> to extend the <u>original</u> due date to				(1 mo)	\$110/\$55 =	+0
cover the date this response is filed for which the requisite fee				(2mos)	\$390/\$195 =	115/215
is attached				(3mos)	\$890/\$445 =	117/217
				(4mos)	\$1390/\$695 =	118/218
23. If "non-English" box 3 is X'd, add Rule 17(k) processing fee				\$130	+0	156
24. If "assignment" box 6 is X'd, add recording fee				\$40	+40	581
<b>TOTAL FEE ENCLOSED =</b>					<b>\$440</b>	

(Our Deposit Account No. 03-3975)

(Our Order No. 60113 0279470

C#

M#

**CHARGE STATEMENT:** The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficient fee only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown above for which purpose a duplicate copy of this sheet is attached.

This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

**Pillsbury Winthrop LLP**  
**Intellectual Property Group**

1100 New York Avenue, NW  
 Ninth Floor  
 Washington, DC 20005-3918  
 Tel: (202) 861-3000  
 PNK/MAH

By Atty: Paul N. Kokulis Reg. No. 16773

Sig: \_\_\_\_\_ Fax: (202) 822-0944  
 Tel: (202) 861-3503

NOTE: File in duplicate with PTO receipt (PAT-103A) and attachments

# RECEIPT FROM PTO FOR INDICATED ITEMS

(Do NOT Use for New or Continuing Applications of Any Kind)  
 Use 2 postcards for all New Applns. (cont/Div/CIP, too)  
Use this sheet when filing CPA



Appln. No: 09/807,172	Atty: Paul N. Kokulis
First Inventor: FRENKEN	Date: May 29, 2001
	Matter No: 279470
	Client No: 60113

## ENCLOSED:

☐ Response/Amendment ☐ Appendix ☐ Cover Sheet ☐ Cited/Listed Documents

☒ Completion Request for R 53(f)/PCT Nat.

# No. of Pages Abstract

# No. of Pages Spec and Claims

# No. of Numbered Claims Only

# No. of Sheets of Drawings (Figs

☐ 1 Set Formal ☐ 1 Set Informal ☐ Cover Letter

☒ Declaration ☐ 2 # of pages

☒ Assignment ☒ Cover Sheet

☐ Small Entity Declaration

☐ Extension Petition (PAT-111)

# ☐ No. of Priority Documents

☐ IDS Letter ☐ Cited Appln(s) ☐ Foreign Sch Rep

☐ PTO-1449 ☐ Cited Documents

☐ Issue Fee Transmittal Form PTOL-85(b) + (c)

\$

Fee (Check) ☐

OTHER:

**Current** DUE DATE:

(Submit Single Copy Only)



## Pat-103 11/97 F RECEIPT FOR INDICATED ITEMS

Appln. No.: 0

Inventor(s): FRENKEN, Leo G.J. et al

Title: ANTIGEN, -BINDING PROTEINS

ENCLOSED:

- ☒ Amendment
- # 1 No. of Pages Abstract
- # 27 No. of Pages Spec and Claims
- # No. of numbered Claims only
- Declaration (     # pgs)
- Assignment [     ] Cover Sheet
- # No. of Priority Documents
- # 7 No. Sheets Drawings (fig(s) 1 to 7) [ X ] 1 set Formal
- [     ] IDS Letter [     ] cited App. [ X ] Foreign sch rept/OA
- [ X ] PTO-1449 [     ] cited docs.
- \$ 860 Fee (Check)

Other: REQUEST, IPER &amp; 14 pages of Sequence Listing

PNK

Date: April 10, 2001

C#: 60113

MN: 279470



CURRENT DUE DATE: April 27, 2001

## Pat-103 11/97 PTO RECEIPT FOR INDICATED ITEMS

Appln. No.: 0

Inventor(s): FRENKEN, Leo G.J. et al

Title: ANTIGEN, -BINDING PROTEINS

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- [ X ] PTO-1449 [     ] cited docs.
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Other: REQUEST, IPER &amp; 14 pages of Sequence Listing

Atty: PNK

Date: April 10, 2001

C#: 60113

MN: 279470

09/807172

JC04 Rec'd PCT/PTO 10 APR 2001

CURRENT DUE DATE: April 27, 2001